Electronic Acknowledgement Receipt		
EFS ID:	2406888	
Application Number:	10001900	
International Application Number:		
Confirmation Number:	8995	
Title of Invention:	Balancing arbitragable tracking securities	
First Named Inventor/Applicant Name:	Steven M. Bloom	
Customer Number:	26161	
Filer:	Denis G. Maloney/Sergio Pantano	
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Attorney Docket Number:	09857-073001	
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File Listing:

Document	Document Description	File Name	File Size(Bytes)	Multi	Pages
Number	Document Description	File Name	/Message Digest	Part /.zip	(if appl.)

1	Request for Continued Examination (RCE)	rce09857_073001.pdf	265216 5c097726c2299fa0db7614ae273564a8 3a5b16e0	no	1	
Warnings:	ı		1			
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Information	:					
		reply09857_073001.pdf	3424079	yes		
2			fc4c9ac2cca80572ff7b8895b0ff0df6352 d378d		17	
	Multipa	rt Description/PDF files in	l .			
	Document Description		Start	End		
	Amendment After Final		1	1		
	Claims		2	7		
	Applicant Arguments/Remarks Made in an Amendment		8	17		
Warnings:						
Information	:	ext09857_073001.pdf		no		
3	Extension of Time		138006		1	
107			345f094b4134812706eebb23c08ee564 5ebb300d			
Warnings: Information						
miormation	•		365139			
4	Information Disclosure Statement (IDS) Filed	ids09857_073001.pdf	17daa8361608e8e8b065f66a85eba960 5be25cd5	no	2	
Warnings:			1			
Information	:					
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		WO2001027106.pdf	792700	no	27	
5	NPL Documents		eb3ea050180292e29e5254936da00fe3 5c3183ad			
Warnings:						
Information	:					
6	NPL Documents	WO03042779.pdf	132531 c4271c918bd25cd65982a566de2ec3d6 112b6e7c	no	3	
Warnings:			11200670			
Information:						
7	Fee Worksheet (PTO-06)	fee-info.pdf	8303	no	2	
			11673ddc6091cfa6ec73eaef87230cf840 216058		<u> </u>	

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If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.